



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

Avi J. ASHKENAZI, et al..

Application Serial No. 10/020,445

Filed: October 24, 2001

For: **SECRETED AND TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC ACIDS  
ENCODING THE SAME**

) Examiner: Seharaseyon, Jegatheesan  
)  
) Art Unit: 1647  
)  
) Confirmation No: 9983  
)  
) Attorney's Docket No. 39780-2630 P1C74  
)  
) Customer No. 35489  
)

**EXPRESS MAIL LABEL NO. EL 977 678 291 US**

**Date Mailed: SEPTEMBER 20, 2004**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --


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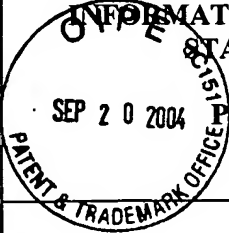
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of **\$180.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2630 P1C74).**

Respectfully submitted,

Dated: September 20, 2004

By:   
Anna L. Barry, Ph.D. (Reg. No. 51,436)

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		ATTY. DOCKET NO.:		SERIAL NO.:			
		39780-2630 P1C74		10/020,445			
		APPLICANT : Avi ASHKENAZI, et al.					
		FILING DATE: October 24, 2001		GROUP: 1647			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	GenBank, Accession No.: P_AAC58232, WO 200053754-A1, Pub Date: September 14, 2000, Baker, K.P., et al.						
	GenBank, Accession No.: AJ002308.1; Direct Submission; Submitted: October 29, 1997, Kedra, D., et al.						
	GenBank, Accession No.: P_AAC78187, WO 200055350-A1, Pub Date: September 21, 2000, Rosen, C.A., et al.						
	GenBank, Accession No.: P_AAF32743, WO 200077255-A1, Pub Date: December 21, 2000, Rosen, C.A., et al.						
	GenBank, Accession No.: BC000407.2, Direct Submission; Submitted: November 15, 2000, Strausberg, R.						
	GenBank, Accession No.: P_AAC93480, WO 200061626-A1, Pub Date: October 19, 2000, Rosen, C.A., et al.						
	Dayhoff, Accession No.: P_AAB64539, WO 200077255-A1, Pub Date: December 21, 2000, Rosen, C.A., et al.						
	Dayhoff, Accession No.: P_AAB24048, WO 200053754-A1, Pub Date: September 14, 2000, Baker, K.P., et al.						
	Dayhoff, Accession No.: P_AAB44265, WO 200053756-A2, Pub Date: September 14, 2000, Ashkenazi, A.J., et al.						
	Dayhoff, Accession No.: P_AAY41709, WO 9946281-A2, Pub Date: September 16, 1999, Wood, W.I., et al.						
	Dayhoff, Accession No.: NP_004701.1, J. Biol. Chem. 273 (5), pp. 2851-2857, 1998; Janz, R., et al.						
	Dayhoff, Accession No.: CAA05325.1, Proc. Natl. Acad. Sci. USA 99, pp. 16899-16903, 2002, Strausberg, R.L., et al.						
	Dayhoff, Accession No.: P_AAW36516, WO 9741143-A1, Pub Date: November 6, 1997, Hawkins, PR, et al.						
	Dayhoff, Accession No.: P_AAB64540, WO 200077255-A1, Pub Date: December 21, 2000, Rosen, CA, et al.						
	Dayhoff, Accession No.: P_AAB64466, WO 200077255-A1, Pub Date: December 21, 2000, Rosen, CA, et al.						
	Dayhoff, Accession No.: P_AAB43978, WO 200055350-A1, Pub Date: September 21, 2000, Rosen, CA, et al.						
EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.